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Substitute for form 1449A/B/PTO				Complete If Known	RECE	IVED	
				Application Number	09/805,600	TILUL	
11	<b>NFORMATION</b>	I DI	SCLOSURE	Filing Date	March 13, 2001	NOV 1	2003
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Keiji Yuzawa		. 2000
				Art Unit	2011 2614	Technology (	Center 2600
				Examiner Name	Not Yet Assigned	K. AKLIL	U
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-145		·

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (#known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
XA	AA**	US-5,990,927	11-23-1999	Hendricks et al.			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (#known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰	
4.4	BA**	EP-0 827 336 A2	03-04-1998	Matsushita Electric Industrial Co., Ltd.		√	
Y.A	BB**	WO-99/62248	12-02-1999	Open TV, Inc.		V	
K. B	BC**	WO-00/07361	02-10-2000	Morecom, Inc.			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. ² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Sind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English tanguage Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
16-18	CA**	Hyoung Joong Kim et al., "A Model of GUI for Interactive TV", Tencon 99. Proceedings of the IEEE Region 10 Conference, September 15, 1999, pp. 1514-1517.	<b>√</b>			
K.A	CB**	Milan Milenkovic, "Delivering Interactive Services to Home Using Digital Video Broadcast Infrastructure", Community Networking Proceedings 1997, Fourth International Workshop, September 11, 1997, pp. 133-142.	<b>√</b>			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application reflied upon in this application for an earlier filling date under 35 U.S.C. 120.

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